



# A primarily serial, foveal accumulator underlies approximate numerical estimation

Samuel J. Cheyette<sup>a,1</sup>, and Steven T. Piantadosi<sup>a</sup>

<sup>a</sup>Department of Psychology, University of California, Berkeley, CA 94720

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The approximate number system (ANS) has attracted broad interest due to its potential importance in early mathematical development and the fact that it is conserved across species. Models of the ANS and behavioral measures of ANS acuity both assume that quantity estimation is computed rapidly and in parallel across an entire view of the visual scene. We present evidence instead that ANS estimates are largely the product of a serial accumulation mechanism operating across visual fixations. We used an eye-tracker to collect data on participants' visual fixations while they performed quantity-estimation and -discrimination tasks. We were able to predict participants' numerical estimates using their visual fixation data: As the number of dots fixated increased, mean estimates also increased, and estimation error decreased. A detailed model-based analysis shows that fixated dots contribute twice as much as peripheral dots to estimated quantities; people do not "double count" multiply fixated dots; and they do not adjust for the proportion of area in the scene that they have fixated. The accumulation mechanism we propose explains reported effects of display time on estimation and earlier findings of a bias to underestimate quantities.

mathematical cognition | approximate number system | eye-tracking

From infancy, humans are equipped with an approximate number system (ANS) that allows for inexact quantity estimation and comparison (e.g., refs. 1–4). This system is shared with our close and distant evolutionary relatives (e.g., refs. 5–7) and may be related to the development of exact numerical concepts and later mathematics in humans (4, 8–10). However, the defining feature of the ANS is that it is inexact, providing an approximate representation of quantity, which is likely useful in a variety of evolutionary contexts (e.g., refs. 6, 7, 11, and 12). The acuity of an individual's ANS is often quantified in terms of their *Weber fraction*,  $w$ , which is a real number denoting how the noise in a representation scales with numerosity. Specifically, one popular psychophysical model of the ANS assumes that a number  $n$  is represented by a Gaussian with mean  $n$  and SD  $w \cdot n$ , so that a lower  $w$  implies a higher-fidelity system.

The mechanisms supporting the ANS are often contrasted with other mechanisms for computing numerosity, such as counting and subitizing (13–15). Counting, for instance, is dependent on intentional, serial enumeration of a set; the ANS, in contrast, is often viewed as parallel, rapid, and automatic. This view is supported by response times, where counting takes around 300 ms per enumerated item, but approximate-number computations can take as little as 16 ms, independent of the number of objects (16). Additionally, researchers have identified populations of neurons that respond similarly for sequentially and simultaneously presented numerosities in monkeys (17), which has been taken as evidence that ANS representations are not the result of sequential processing.

However, recent evidence has muddied the simple picture of the ANS. Several studies have shown that individuals' Weber fractions are highly task-dependent, differing between estimation and discrimination tasks (e.g., refs. 18 and 19). In fact, Weber fractions have poor retest reliability, even when measured by using the same task (20). Numerical estimates have also

been found to be influenced by nonnumerical features of stimuli, such as the degree of clustering in a scene (21). Finally, the precision of numerical estimates is known to improve as stimuli are presented for a longer duration (16), suggesting that ANS estimation may involve some type of temporal process.

Despite this, prior computational models of the ANS have built speed and parallelism into their architecture. For instance, many of the dominant ANS models are feedforward neural network models, where input is processed in parallel and instantaneously (e.g., refs. 22–24). The objective of the present study is to critically evaluate the simple picture of the ANS as a rapid and entirely parallel process. In particular, we aim to capture the possible sequential mechanisms involved in numerical estimation using behavioral experiments and model-driven analysis. We present a model and behavioral data from two experiments that challenge the standard parallel perception theory. Our results lend support instead to an account of ANS estimation that involves sequential integration across visual fixations.

We ran estimation (Experiment 1) and discrimination (Experiment 2) tasks in which participants made nonsymbolic numerosity judgments at different exposure durations. Critically, we collected visual fixation data using an eye-tracker so that we could measure how participants' ANS estimation was influenced by their path of visual fixations. We show that ANS estimates are the result of a serial accumulation process (25), such that estimates increase as a function of foveation. We present an analysis that quantifies the contribution of foveal, peripheral, and multiply fixated dots in an array which supports this interpretation. Our results suggest that individual differences in ANS acuity may

## Significance

The question of how people estimate numerical quantities is centrally important in cognitive psychology, neuroscience, and applied educational research. It is generally believed that estimation of numbers is rapid and occurs in parallel across a visual scene. Here, we show that people's estimates are determined by a sequence of visual fixations, with both their mean estimates and their precision increasing as a function of how many points they foveate. This mechanism suggests that a considerable body of research which treats estimation as a purely numerical measure is likely to be missing an important part of the picture: Numerical estimation ability is closely tied to the mechanisms that control eye movements and attention.

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Data deposition: All of the code to run the experiment and all data and analysis used in the paper can be found on S.J.C.'s github page (<https://github.com/samcheyette/accumulator-paper-files>).

<sup>1</sup> To whom correspondence may be addressed. Email: [sjcheyette@gmail.com](mailto:sjcheyette@gmail.com).

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reflect differences in cognitive processes that are not directly related to numerical estimation, including attention or visual-processing speed. This dependence on nonnumerical factors may explain why studies that train people's ANS yield mixed results in transferring to mathematical knowledge (26–29).

### Experiment 1

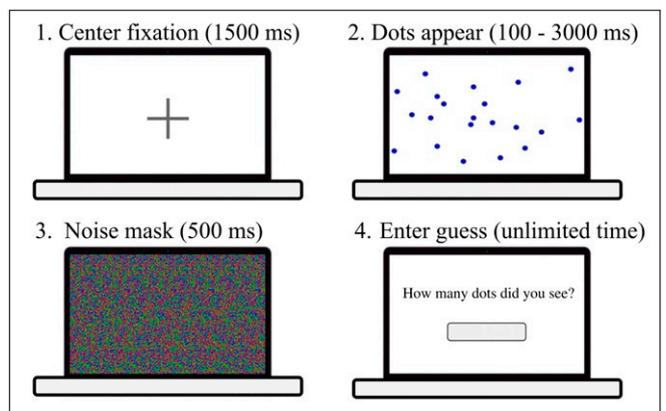
Since the visual mechanisms supporting the ANS have not been explored in detail, we first used the simplest paradigm possible to understand ANS estimation. Fig. 1 illustrates the sequence of displays shown on each trial. After viewing a fixation cross, participants were shown an array of randomly placed dots on a screen which were noise-masked after a short time. They were then prompted to enter an estimate in Arabic numerals. Subjects were not given feedback and thus had no push to recalibrate their response scale. We manipulated the amount of time that each display was visible to replicate and extend the previous work on the effect of timing on the ANS. Each participant completed 16 trials for each of four display-time conditions: 100; 333; 1,000; and 3,000 ms. We eye-tracked participants during this task to determine how their estimates were influenced by the number of dots in the path of their visual fixation. Importantly, the screen subtended a range of participants' visual field that ensured that some of the dots could be seen foveally and others peripherally from the initial fixation.\*

### Results.

**Replication of basic number psychophysics.** Fig. 2A shows how the mean estimate ( $y$  axis) varied as a function of the quantity displayed ( $x$  axis), collapsing over all time conditions. There are two aspects of this graph worth highlighting: First, mean estimates vary approximately linearly as a function of quantity, exactly as should be found in Weber models of the number system. Second, this shows a strong tendency to increasingly underestimate larger numbers,<sup>†</sup> as shown by the fact that the slope of the line is less than 1, which would have corresponded to perfectly veridical estimation (assuming an intercept of 0). Both effects have been found robustly in the literature (e.g., ref. 31). Fig. 2B shows that Experiment 1 replicates the second traditional property of ANS estimation: *scale variability*, wherein the error in estimation increases linearly in magnitude.

**More time improves estimation mean and variance.** To evaluate whether timing influenced participants' ANS, we ran a hierarchical regression to estimate the effect of time on both the mean estimate and Weber fraction, including participant- and group-level regression effects fit jointly. The model assumed that means and SDs varied linearly as a function of quantity in accordance with Weber's law. More specifically, on a trial that showed  $n$  dots, each participant's mean estimate was drawn from a Gaussian centered around  $\beta \cdot n$  and modeled with SD  $w \cdot \beta \cdot n$ , where  $\beta$  and  $w$  are hierarchically fit parameters (*SI Appendix*). The regression included logarithmic effects of time on mean estimates and Weber ratios, allowing us to extract each individual's effective slope and Weber ratio as a function of time.

Fig. 2 shows the mean slope (Fig. 2C) and Weber fraction (Fig. 2D) in each time condition extracted from this model. The group-level means are shown in blue, and each participant is shown by a line in black. If participants' estimates were unbiased (e.g., veridical estimation as opposed to underestimation), then the group mean slopes would be 1 (black dotted line), and if time did not have an effect, the group mean slopes and



**Fig. 1.** Each of the four images represents one stage of a trial in the estimation task, in their order. Stage 1: A fixation cross appears for 1,500 ms. Stage 2: The fixation cross is removed, and dots appear on the screen for between 100 ms and 3 s, depending on the condition. Stage 3: The display is masked by noise for 500 ms. Stage 4: A prompt appears asking for an estimate of the number of dots shown.

Weber fractions ( $y$  axis) would remain constant across time ( $x$  axis). In contrast, Fig. 2C shows that subjects consistently underestimate with slopes less than 1, but that this underestimation effect decreases with increasing time. Participants' average slope increases by about 17% (0.71–0.83) from the shortest to the longest time condition. This is what would be expected by quantity accumulation over time: More time increases reported quantities. Additionally, their average Weber fraction decreases by about 21% (0.28–0.22) (*SI Appendix, Table S1*). Correspondingly, Fig. 2D shows that Weber fractions improve (decrease) with more time.

**Foveation, not time, is what matters for estimation.** If ANS estimation is driven by accumulation of quantity across saccades, we should first expect that mean estimates increase with foveation. We should also expect that time has no effect when jointly considering foveation—i.e., that time simply allows for more saccades and nothing more. To evaluate this, we summed the number of dots that fell within  $5^\circ$  (often called the “parafoveal region”) of the center of participants' fixation paths for more than 50 ms on a trial.<sup>‡</sup> We denote the dots that are seen for at least this amount of time as “foveated.”

Fig. 3 provides four example trials, depicting a participant's gaze path across the screen while the stimulus is being shown. The filled points represent “foveated” dots, and the unfilled points represent those that were not.<sup>§</sup> At the bottom of each display, the number of dots shown, foveated, and estimated are shown. We provide a more rigorous formalization and test of this idea in *The Mechanics of ANS Estimation*.

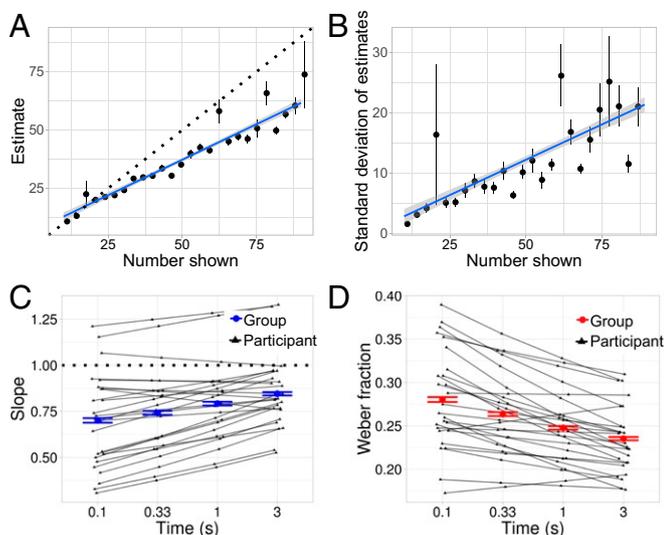
Fig. 4A shows the percent of dots that are foveated for each time condition. As should be expected, more dots are foveated with longer exposure duration. The average proportion of dots foveated more than tripled from the shortest to longest time condition (18–64%). Consistent with the hypothesis that effects of time are due to accumulation of foveated dots, the effects of time on estimation disappeared when the effect proportion of dots foveated was jointly taken into account. Fig. 4B shows the percent deviation of estimates from the true quantity as a function of dots foveated, colored by time. That the lines overlap suggests

\*The display size was  $38^\circ$  of participants' visual field left-to-right and  $26^\circ$  top-to-bottom. This is smaller than some displays used in prior ANS literature (e.g., ref. 30), but large enough that some dots are peripheral.

<sup>†</sup>When we use the term “underestimation,” we mean that the average estimate is less than a given numerosity.

<sup>‡</sup>We also tested 16 ms and 100 ms as possible thresholds and  $2^\circ$  and  $10^\circ$  as possible visual degree thresholds. These differences did not affect the qualitative pattern of results.

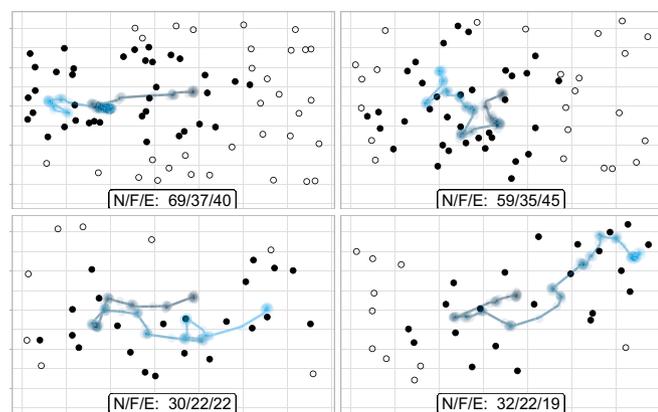
<sup>§</sup>This is for illustrative purposes only—stimuli were entirely static during a trial.



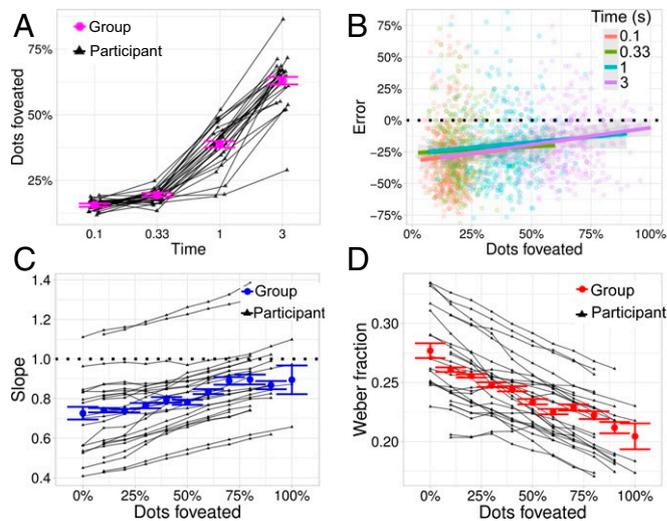
**Fig. 2.** (A) Estimates as a function of the number of dots presented, collapsing across time conditions. Points are binned means, with errors representing bootstrapped 95% CIs. (B) The SD of participants' estimates as a function of the number of dots displayed, collapsing across time conditions. (C) Participant (black) and group-level (blue) slopes in each time condition of the estimation task are shown. Slopes represent the way the mean estimate scales as a function of quantity shown. (D) Participant (black) and group-level (red) Weber fractions in each time condition of the estimation task are shown.

that there is no effect of time when both foveation and time are taken into account.

To formally evaluate this, we ran a second hierarchical regression that was identical to the one reported above, except that it included a covariate for the effect of the proportion of dots foveated on the mean and variance of each participant's estimate. This regression shows that the proportion of dots foveated significantly affected both the mean of participants' estimates (Fig. 4C) and Weber ratios (Fig. 4D), and the effect of time disappeared when foveation was taken into account. Moreover, when 100% of dots were foveated, participants were nearly unbiased (slope  $\approx 1$  in Fig. 4C), suggesting that the underestimation bias previously observed was not miscalibration, but was, rather, due to participants not foveating all of the dots. In a separate analysis, we found



**Fig. 3.** Example fixation paths of one subject in the 3-s time condition, with each panel representing a single trial. The points represent the dots displayed on their screen, where filled dots represent the ones that were foveated. At the bottom of each panel, a label N/F/E shows how many dots were shown (N), how many were foveated (F), and what quantity the participant actually estimated (E).



**Fig. 4.** (A) The proportion of dots foveated (y axis) as a function of time (x axis), at the group level (red) and for each participant (black). (B) The percent deviation of estimates from the true number of dots (y axis) as a function of the percent of dots foveated (x axis). Each time condition is grouped by color. (C) The slope of participants' mean estimates (y axis) as a function of the percent of dots foveated (x axis). (D) Weber fractions (y axis) as a function of the percent of dots foveated.

that the observed effect of foveation on mean estimates held in each time condition separately (*SI Appendix, Table 3*).

Thus, these results provide an alternative account of prior findings of 1) underestimation and 2) effects of time. Indeed, both are unified into an account where serial accumulation of foveated dots drives numerical quantity estimates. This finding calls into question the construct validity of Weber ratios as a measure of numerical cognition, since numerical estimates depend on how many dots happen to be foveated, a capacity which is nonnumerical.

## Experiment 2

Because there is evidence that Weber fractions may differ between estimation and discrimination tasks (19), it is important to replicate these patterns in a discrimination task. We ran a second experiment with the same participants as Experiment 1, again recording participants' gaze. Participants saw two stimuli of dot arrays (as in Fig. 1) sequentially and were then asked to indicate which had a greater quantity. We manipulated timing in four conditions, which determined whether the first or second array of dots was visible for longer. Specifically, we crossed long and short durations to give presentation times of 100:100 ms, 1,000:100 ms, 100:1,000 ms, and 1,000:1,000 ms for the two displays. We predicted that, if ANS estimation relied on foveal accumulation in this task as well, timing would bias participants toward whichever display was presented for longer.

**Results.** Participants' responses as a function of ratio collapsed across time conditions can be seen in Fig. 5A and B. Fig. 5A shows the proportion of participants who responded that the second display had more dots than the first as a function of the ratio of dots in the second display relative to the first. The proportion of participants who responded that the second display was more numerous increased monotonically with the ratio. Participants reported that the second display was more numerous on average (56% of the time), possibly suggesting an effect of memory. This is consistent with studies finding effects of recency in non-symbolic magnitude comparison (32). Fig. 5B shows participants' accuracy as a function of the absolute magnitude ratio, or the minimum magnitude over the maximum. Participants were able to discriminate ratios of 5 : 6 with roughly 75% accuracy.





The participants were fixed to a distance such that their eyes were 26 inches away from the screen, which was ensured by measurement with a yardstick. The screen subtended  $\sim 38^\circ$  of participants' visual field left-to-right and  $26^\circ$  top-to-bottom. The eye-tracker was a Tobii T60XL model, providing a read-out of 60 samples per second. We used built-in Tobii software to calibrate participants to the eye-tracker.

Each dot had a radius of 10 pixels. The density of the dots in the images ranged from 0.01 to 0.07 dots/deg<sup>2</sup>. Note that the constant dot size meant that it was not possible to directly test whether the ANS estimation uses number rather than another correlated dimension such as density. The number of dots displayed on each trial varied between 10 and 90 dots, inclusive. To determine the numerosities shown to a given subject, 16 numbers were chosen randomly from within that range. The same 16 numbers were shown to the participant in each of the 4 time conditions, with presentation order randomized across the conditions. The median range size across participants was 71 (minimum 54, maximum 79). The median lowest number shown was 14, and the median highest number shown was 86. The dots were placed on the screen at random locations, only constrained to be nonoverlapping. Participants entered their numerical estimates using a keyboard attached to the computer. The experiment was designed by using the Python library Kelpy (42); all of the code to run it can be found on the first author's github page at [https://github.com/samcheyette/accumulator\\_paper\\_files](https://github.com/samcheyette/accumulator_paper_files). All data and analysis used in the paper can be found there as well.

**Participants.** A total of 27 adult subjects (15 female, 12 male) from the University of Rochester community were recruited to participate in the task. The participants' ages ranged from 18 to 29 ( $M = 21.4$ ).

**Procedure.** All study procedures were approved by the University of Rochester Institutional Review Board. After providing consent, participants were calibrated to the eye-tracker and subsequently began the experiment. The experiment consisted of 64 total trials, with 4 blocks of 16 trials each. Each 16-trial block contained one of the 4 different time conditions each

subject underwent: 100; 333; 1,000; and 3,000 ms (together comprising all 64 trials); the order of the blocks was randomized across participants. On each trial, dots were displayed, followed by a noise mask. Subjects then typed their responses into a text box using a keyboard and pressed the enter key to move onto the next trial.

**Experiment 2.** Experiment 2 was a sequential number-discrimination task with the same participants who completed Experiment 1. Likewise, the properties of the stimuli and materials used in Experiment 2 were the same as in Experiment 1 (e.g., dots in both had the same radius). Sixteen pairs of numbers were chosen randomly for each participant, with the ratio (the minimum over the maximum) of the number pairs constrained to be between 0.5 and 0.99. For a given subject, the same number pairs were used across the 4 time conditions, with their order randomized across conditions. **Procedure.** After completing Experiment 1, participants took a break (if needed), were recalibrated to the eye-tracker, and then began Experiment 2. In this task, participants saw 2 flashes of dots, one after the other, and were subsequently asked which stimulus they thought had a greater quantity of dots (pressing 1 or 2 on a keyboard). There were 4 conditions with 16 trials each (like Experiment 1), where each condition corresponded to a unique pair of stimulus durations for the first and second display. More specifically, the 4 conditions were (100; 100 ms), (100; 1,000 ms), (1,000; 100 ms), and (1,000; 1,000 ms).

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